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Paper No. 6

STAAS & HALSEY
700 ELEVENTH STREET NW
SUITE 500
WASHINGTON, DC 20001

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JUL 17 2001

In re Application of Steve C. Mutschler et al. Application No. 09/729,090 Filed: December 5, 2000 For: ELECTRONIC NEGOTIATION AND FULFILLMENT FOR PACKAGE OF FINANCIAL PRODUCTS AND/OR

OFFICE OF PETITIONS A/C PATENTS

:DECISION ACCORDING :STATUS UNDER 37 CFR

:1.47(a)

SERVICES

This is in response to the "Petition Under 37 CFR 1.47(a)," filed May 7, 2001.

The petition is granted.

Petitioner has shown that the non-signing inventor has refused to join in the filing of the above-identified application.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status. As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette

Telephone inquiries regarding this decision should be directed to Petitions Examiner Wan Laymon at (703) 306-5685.

This application is being forwarded to Technology Center AU 2166 for examination in due course.

Lead Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy



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WASHINGTON, D.C. 2023 I

Paper No. 7

SANDRA L DEVINE 3856 N RIXLEY STREET ARLINGTON, VA 22207

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JUL 17 2001

In re Application of Steven C. Mutschler and Sandra L. Devine Application No. 09/729,090 Filed: December 5, 2000

OFFICE OF PETITIONS

A/C PATENTS

For: ELECTRONIC NEGOTIATION AND FULFILLMENT FOR PACKAGE OF FINANCIAL PRODUCTS AND/OR SERVICES

Dear Ms. Devine:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Wan Laymon at (703) 306-5685. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).

Lead Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy

cc:

STAAS & HALSEY 700 ELEVENTH STREET NW SUITE 500 WASHINGTON, DC 20001